09.12.05

PATENTS

Attorney Docket No. VPI/94-04CIP2DIV5

David Lukton

Group

1654

Applicants

Guy W. Bemis et al.

Appln. No.

09/886,773 Confirmation No.: 6928

Filed

June 21, 2001

For

INHIBITORS OF INTERLEUKIN-1β CONVERTING

Sa DE

ENZYME

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV 619642484 US.

Date of Deposit September 9, 2005

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Mail Stop Petition, Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mail Stop Petition

Hon. Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

New York, New York September 9, 2005

TRANSMITTAL LETTER

Sir:

Transmitted herewith:

[X] Petition to Withdraw Holding of Abandonment Pursuant to 37 C.F.R. § 1.181(a) or, in the Alternative, to Revive Unintentionally Abandoned Application Pursuant to 37 C.F.R. § 1.137(b) with Exhibits 1-5 (in duplicate);

[X] Declaration of Michele A. Kercher in Support of Petition; and

[X] Postcard

to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

- [X] A fee for additional claims is not required.
- [] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINI AFTER AMENDME	NG NUMBER PREVIO	HIGHEST NUMBER PREVIOUSLY PAID FOR		RATE	2	ADDITIONAL FEES	
TOTAL CLAIMS	-	=	x	\$50	=	\$	0.00
INDEPENDENT CLAIMS	_	=	x	\$200	=	\$	0.00
FIRST PRESENTATION MULTIPLE DEPENDENT			+	\$360	=	\$	0.00
				7	OTA	L	\$0.00

- [] A check in the amount of \$____in payment of the fee for additional claims is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 003681-0005. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge \$ __to Deposit Account No. 06-1075, Order No. 003681-0005 in payment of the fee for additional

claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- [] The following extension fee is applicable to the Response filed herewith: []\$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); []\$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); []\$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); []\$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); []\$2,160.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).
- [] A check in the amount of [] \$120.00 [] \$450.00 [] \$1,020.00 [] \$1,590.00 [] \$2,160.00 in payment of the extension fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 003681-0005. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge the [] \$120.00 [] \$450.00 [] \$1,020.00 [] \$1,590.00 [] \$2,160.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

The Director is hereby authorized to charge payment of any fees required in connection with the paper(s) transmitted herewith to Deposit Account No. 06-1075, Order No. 003681-0005. A duplicate copy of this transmittal letter is transmitted herewith.

> Michele A Kercher James F. Haley, Jr. (Reg. No. 27,794)

Attorney for Applicants

Michele A. Kercher (Reg. No. 51,869)

Agent for Applicants

c/o FISH & NEAVE IP GROUP ROPES AND GRAY LLP Customer No. 1473

1251 Avenue of the Americas New York, New York 10020

Tel.: (212) 596-9000



PATENTS VPI/94-04_CIP2 DIV5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : David Lukton

Group : 1654

Applicants : Guy W. Bemis, et al.

Appln. No. : 09/886,773 Confirmation No. 6928

Filed : June 21, 2001

For : INHIBITORS OF INTERLEUKIN-1β CONVERTING

ENZYME

Mail Stop Petition

New York, New York September 9, 2005

Hon. Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

PETITION TO WITHDRAW HOLDING OF ABANDONMENT PURSUANT TO 37 C.F.R. § 1.181(a) OR, IN THE ALTERNATIVE, TO REVIVE UNINTENTIONALLY ABANDONED APPLICATION PURSUANT TO 37 C.F.R. § 1.137(b)

Sir:

Pursuant to 37 C.F.R. § 1.181(a), applicants hereby petition to withdraw the holding of abandonment of the above-identified patent application. For the reasons given below, applicants respectfully request withdrawal of the abandoned status of the above-identified application.

However, should this petition be treated as a petition under 37 C.F.R. § 1.137(b), applicants hereby

petition to revive the above-identified application, which was unintentionally abandoned.

On July 14, 2005, the U.S. Patent and Trademark
Office issued a Notice of Abandonment ("July 2005 Notice",
copy attached as Exhibit 1) in the above application. The
July 2005 Notice states that the application is abandoned
for failure to timely file a proper reply to the Office
Letter mailed April 1, 2004 ("April 2004 Notice", copy
attached as Exhibit 2). The July 2005 Notice also states
that applicants filed a response on April 1, 2004 ("April
2004 Response") which was an unsuccessful attempt to comply
with the sequence listing requirement mailed on March 1,
2004 ("March 2004 Notice"). The July 2005 Notice further
states that in an April 1, 2004 ("April 2004 Notice")
letter, applicants were informed that they still had not
complied with the sequence rules.

Applicants respectfully traverse. On

March 1, 2004 the Examiner issued a Notice to Comply with

Requirements for Patent Applications Containing Nucleotide

and/or Amino Acid Sequence Disclosures ("March 2004

Notice", copy attached as Exhibit 3). On April 1, 2004

applicants submitted a Statement to Verify Content of

Computer Readable Form Submission Under 37 C.F.R. § 1.821

and paper copy of the Sequence Listing. (copy attached as Exhibit 4).*

On April 1, 2004, the same day that applicants submitted the April 2004 Response, the Examiner issued the April 2004 Notice (see Exhibit 2), in response to applicants' March 3, 2004 and March 11, 2004 Supplemental Amendments. In that April 2004 Notice, the Examiner indicated that the Supplemental Amendments were not responsive to the March 2004 Notice and that compliance with the sequence disclosure rules was required.

As detailed in the Declaration of Michele

Kercher, on April 26, 2004, the undersigned contacted the

Examiner telephonically to confirm that the April 2004

Response had been received by the USPTO. The Examiner

confirmed that the April 2004 Notice and the April 2004

Response had crossed in the mail but that the April 2004

Response had been received.

On January 19, 2005 and January 21, 2005 the Examiner contacted applicants' agents telephonically stating that applicants' April 2004 Response was potentially defective. The Examiner did not mail

^{*} Applicants note that on each of March 3, 2004 and March 11, 2004, applicants submitted a Supplemental Amendment, neither of which addressed the sequence listing requirements.

applicants any written notice that the April 2004 Response was defective.

On February 28, 2005, applicants submitted a Corrected Reply to Notice to Comply With Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures ("Corrected Reply", copy attached as Exhibit 5) in response to the Examiner's January 2005 teleconferences. The Corrected Reply was filed within 30 days of the earlier of the two January 2005 teleconferences with the Examiner. Applicants believed that this would correct any procedural defects that may have been present in the April 2004 Response.

The foregoing demonstrates that applicants filed timely responses to the March 2004 and April 2004 Notices. Thus contrary to the July 2005 Notices, the application was not abandoned. Accordingly, applicants request withdrawal of the holding of abandonment of this application.

Alternately, applicants request that this application be revived in order to reopen prosecution and allow consideration of the Corrected Reply which was previously filed on February 18, 2005 (copy attached herewith as Exhibit 5 as required by 37 C.F.R. § 1.137(b)(1)). This application was not intentionally abandoned. Furthermore, as described above,

based on all the relevant circumstances, the entire period of delay in filing the required reply from the April 1, 2004 due date for the reply until the filing of the present petition was unintentional. If the application is revived, a terminal disclaimer under 37 C.F.R. § 1.137(d) is not required.

The Director is hereby authorized to charge any fee that may be due in connection with this Petition to Deposit Account No. 60-1075, Order No. 003681-0005. A duplicate copy of this Petition is enclosed.

Michele A. Kercher

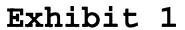
James F. Haley, Jr. (Reg. No. 27,794)

Attorney for Applicants

Michele A. Kercher (Reg. No. 51,869)

Agent for Applicants

c/o FISH & NEAVE IP GROUP
ROPES AND GRAY LLP
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000



NITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov ATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/886,773 06/21/2001 Guy W. Bemis VPI94-04DIV5 6928 1473 7590 07/14/2005 **EXAMINER** FISH & NEAVE IP GROUP LUKTON, DAVID **ROPES & GRAY LLP** 1251 AVENUE OF THE AMERICAS FLORE CEIVED ART UNIT PAPER NUMBER NEW YORK, NY 10020-1105 1654 DATE MAILED: 07/14/2005 JUL 1 8 2005

PROVIDER - PLEASE INITIAL That so coment to indicate That you have seen it

ROPES & GRAY LIP - PROJENT DEPT.

REFERRED TO ANY TIBLE S

Please find below and/or attached an Office communication concerning this application or proceeding.

BEST AVAILABLE COPY

	Application No.	Applicant(s)
Notice of Abandonment	09/886,773	BEMIS ET AL.
	Examiner	Art Unit
T	David Lukton	1654
The MAILING DATE of this communication app	ears on the cover sheet with the c	orrespondence address
This application is abandoned in view of:		
 Applicant's failure to timely file a proper reply to the Office (a) A reply was received on <u>18 February 2005</u> (with a Ce expiration of the period for reply (including a total external properties). 	rtificate of Mailing or Transmission dension of time of <u>0</u> month(s)) which e	xpired on <u>7/1/04</u> .
(b) ☐ A proposed reply was received on, but it does		
(A proper reply under 37 CFR 1.113 to a final rejection application in condition for allowance; (2) a timely filed Continued Examination (RCE) in compliance with 37 (I Notice of Appeal (with appeal fee);	nendment which places the or (3) a timely filed Request for
(c) A reply was received on but it does not constitutional rejection. See 37 CFR 1.85(a) and 1.111. (See	ute a proper reply, or a bona fide atte explanation in box 7 below).	mpt at a proper reply, to the non-
(d) ☐ No reply has been received.	•	• • • • • • • • • • • • • • • • • • • •
2. Applicant's failure to timely pay the required issue fee and from the mailing date of the Notice of Allowance (PTOL-8	15) .	
(a) The issue fee and publication fee, if applicable, was), which is after the expiration of the statutory po Allowance (PTOL-85).	s received on (with a Certificate eriod for payment of the issue fee (ar	ate of Mailing or Transmission dated nd publication fee) set in the Notice of
(b) ☐ The submitted fee of \$ is insufficient. A balance	e of \$ is due.	
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required by 37	CFR 1.18(d), is \$
(c) The issue fee and publication fee, if applicable, has no		.,
 Applicant's failure to timely file corrected drawings as requ Allowability (PTO-37). 	uired by, and within the three-month p	period set in, the Notice of
(a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply.	(with a Certificate of Mailing or Tran	smission dated), which is
(b) ☐ No corrected drawings have been received.		
 The letter of express abandonment which is signed by the the applicants. 	PATENT EX	MINER
 The letter of express abandonment which is signed by an 1.34(a)) upon the filing of a continuing application. 	attorney or ac	
 The decision by the Board of Patent Appeals and Interference of the decision has expired and there are no allowed claim 	ence rendered . D. Luk	You
7. 🛮 The reason(s) below:	'	
On 3/1/04, a requirement for CRF sequence listing wants an (unsucessful) attempt to comply with the sapplicants were informed that they had still not composite with the sequence rules, but not until 2/18/05, which	sequence listing requirement. plied with the sequence rules.	sponse filed 4/1/04, appacants In a letter mailed 4/1/04, Applicants did finally comply deadline for compliance.
		·
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdra minimize any negative effects on patent term.	w the holding of abandonment under 37 (CFR 1.181, should be promptly filed to





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION N	Ю.	FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/886,773	09/886,773 06/21/2001		06/21/2001 Guy W. Bemis		6928	
1473	7590	04/01/2004		EXAM	INER	
FISH & 1 1251 AV	- · · -	THE AMERICAS	•	LUKTON	, DAVID	
50TH FL	OOR			ART UNIT	PAPER NUMBER	
NEW YO	RK, NY	10020-1105		1653		
	DATE MAILED: 04/01/2004					

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED

APR - 7 2004

REMINDER - PLEASE INITIAL THIS DOCUMENT TO INDICATE THAT YOU RAVE SEEN IT

DOCKETED FOR

PTO-90C (Rev. 10/03)

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.			
09/886773						
Γ		٠ .	•			
			EXAMINER			
		ART UNIT	PAPER NUMBER			
L		J	2004-0301			
		DATE MAILED:				

Please find below a communication from the EXAMINER in charge of this application.

Commissioner of Patents

Please see the attached communication regarding the amendments filed 3/4/04 and 3/11/04.

Applicants' amendments filed 3/4/04 and 3/11/04 are acknowledged. However, these amendments are non-responsive to the Office action mailed 3/1/04. That Office action indicated the need for compliance with the sequence disclosure rules. The amendments filed 3/4/04 and 3/11/04 do not address this issue. Compliance with the sequence disclosure rules is still required.

The time for response (one month) is reset pursuant to this Office action. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Lukton whose telephone number is 571-272-0952. The examiner can normally be reached Monday-Friday from 9:30 to 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low, can be reached at 571-272-0951. The fax number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 571-272-1600.







United States Patent and Trademark Office



APPLICATION N	PPLICATION NO. FILING DATE FI		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/886,773 06/21/2001		06/21/2001	Guy W. Bemis	VPI94-04DIV5	6928	
1473	7590	03/01/2004		EXAM	INER	
FISH & 1 1251 AVE		THE AMERICAS		LUKTON	, DAVID	
50TH FLO				ART UNIT	PAPER NUMBER	
NEW YO	RK, NY	10020-1105		1653		
				DATE MAILED: 03/01/2004	1	

Please find below and/or attached an Office communication concerning this application or proceeding.

BEST AVAILABLE COPY

RECEIVED

MAR - 4 2004

NOTED BY __

REMEMBER - CLE 130 NUTIAL THIS DOCUMENT TO INDICATE THAT YOU HAVE SEEN IT

DOCKETED FOR 4/104

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPI	ATTORNEY DOCKET NO.	
09/886773	3			
Γ		١		
				EXAMINER
			ART UNIT	PAPER NUMBER
ι		ı	DATE MAILED:	2004-0201

Please find below a communication from the EXAMINER in charge of this application.

Commissioner of Patents

Please see the attached communication regarding sequence rule compliance.

Serial No. 09/886,773 Art Unit 1653

Pursuant to the directives of the amendment filed 12/16/03, claims 76, 105-107, 118, 126-128 have been cancelled, and claims 129-134 added. Claims 102-104, 125, 129-134 are now pending.

Applicants' arguments filed 12/16/03 are acknowledged. A response thereto will be forthcoming after applicants have complied with the sequence rules.

*

This application contains at least one sequence disclosure that is encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can (continue to) be examined under 35 U.S.C. §§ 131 and 132.

See, for example, the sequence on page 120, lines 21-22 and page 121, line 18.

Applicant is given ONE MONTH from the mailing date of this communication within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply.

Serial No. 09/886,773 Art Unit 1653

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Lukton whose telephone number is 571-272-0952. The examiner can normally be reached Monday-Friday from 9:30 to 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Low, can be reached at 571-272-0951. The fax number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0196.

PATENT EXAMPLES
GROUP 1860

0 (886 773

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

A stinct	tatement that the content of the paper and computer readable copies are the same and, where applicable, ude no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g).
	initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the cification.
An	initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
/	Applicant Must Provide:
7.	Other:
6.	The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
5.	The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
4.	A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked –up "Raw Sequence Listing."
3.	A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
2.	This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
1.	This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216 or (703) 308-2923
- For CRF Submission Help, call (703) 308-4212
- For Patentin software Program Support:
 - HELP DESK: (703) 739-8559, ext 508, M-F, 8 AM to 5 PM EST except holidays
 - Email: <u>PATIN21HELP@uspto.gov</u>
 - To purchase Patentin sofftware: (703) 306-2600

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. VPI/94-04 CIP2 DIV5

SERIAL NO. 09/886,773

JAN 15 THE ASSESSED TO THE PERSON OF THE PER

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Guy W. Bemis, et al.

FILING DATE
June 21, 2001

GRO
165

GROUP 1653

U.S. PATENT DOCUMENTS

classification

		U.S. PATE	NT DOCUMENT	<u> </u>		FILING DATE
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	APPROPRIATE
DX	4,276,298	06/30/81	Jones et al.	424	- 270	514-367
1 200	4,369,183	01/18/83	Jones et al.	424	- 263	514-338
DR OA	4,499,295	02/12/85	Mueller et al	560	53	
N. D.X	4,551,279	11/05/85	Mueller et al.	260	404	554-62
SX O	4,584,397	04/22/86	Mueller et al.	560	75	
0 1 DL	4,968,607	11/06/90	Dower et al.	435	69.1	
XX O	5,008,245	04/16/91	Digenis et al.	514	18	
0.292	5,055,451	10/08/91	Krantz et al.	514	19	
W n	5,081,228	01/14/92	Dower et al.	530-	'35. †	530-351
N. 1/2	5,158,936	10/27/92	Krantz, et al.	514	19	
XX n.	5,180,812	01/19/93	Dower et al.	530	351	
2000	5,374,623	12/20/94	Zimmerman et al.	514	17	08/20/92
02	5,411,985	05/02/95	Bills et al.	514	460	05/17/93
2 6/4	5,416,013	05/16/95	Black et al.	435	226	02/18/04
St O	5,430,128	07/04/95	Chapman et al.	530	330	11/21/94
V. X13	5,434,248	07/18/95	Chapman et al.	530	330	06/02/93
W O	5,462,939	10/31/95	Dolle et al.	514	231.5	05/07/93
T #	5,486,623	01/23/96	Zimmerman et al.	549	417	12/08/93
De ava	5,498,616	03/12/96	Mallamo et al.	514	300	11/04/94
O A XIX	5,498,695	03/12/96	Daumy et al.	530	331	12/12/94
DZ 7	5,552,400	09/03/96	Dolle et al.	514	221	06/08/94
\ XII	5,565,430	10/15/96	Dolle et al.	514	19	08/02/94
XIY	5,585,357	12/17/96	Dolle et al.	514	18	01/29/96
	5,585,486	12/17/96	Dolle et al.	544	182	05/12/95
	5,639,745	06/17/97	Dolle et al.	514	183	05/25/95
	5,670,494	09/23/97	Dolle et al.	514	86	11/20/95

EXAMINER

Letter

DATE CONSIDERED 6

6/13/13



Rev. 10/00 **PATENTS**

Modified PTO 1083 For Other Than A Small Entity

:

:

Attorney Docket No. <u>VPI/94-04CIP2DIV5</u>

Examiner

D. Lukton :

Group

1653⁻ :

Applicants

Guy W. Bemis et al.

Appln. No.

09/886,773

Confirmation No.: 6928

Filed

June 21, 2001

For

INHIBITORS OF INTERLEUKIN-1 β CONVERTING

ENZYME

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number <u>EV132198611US</u>.

Date of Deposit __April 1, 2004 .

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Vatents, P.O. Box 1450, Alexandria, VA 22313.

Hon. Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

New York, New York April 1, 2004

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [] a Preliminary Amendment; [] a Supplemental Amendment; [] a substitute Specification; [] a Declaration; [] a Power of Attorney; [] an Associate Power of Attorney; [] formal drawings; [x] Statement to Verify Content of Computer Readable Form Submission under 37 C.F.R. § 1.821; [x] a paper copy of the Seguence Listing, to be filed in the above identified return. Sequence Listing; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[x] A fee for additional claims is not required.

[] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RAT	Έ	ADDITIONAL FEES	
TOTAL CLAIM	S	<u>-</u>	=	х	\$18	=	\$	0.00
INDEPENDENT CLAIMS	•	-		x	\$86	=	\$	0.00
FIRST PRESE		OF A CLAIM		1 +	\$290	=	\$.00
					TOTA	L	\$	0.00

- [] A check in the amount of \$_____ in payment of the fee for additional claims is transmitted herewith.
- [x] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge \$ ____ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[] The following extension fee is applicable to the Response filed herewith: []\$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$1,480.00 extension fee for response within fourth month

pursuant to 37 C.F.R. § 1.136(a); []\$2,010.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).

- [] A check in the amount of [] \$110.00 [] \$420.00 [] \$950.00 [] \$1,480.00 [] \$2,010.00 in payment of the extension fee is transmitted herewith.
- [x] The Director is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge the [] \$110.00 [] \$420.00 [] \$950.00 [] \$1,480.00 [] \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

James F. Haley, Jr. (Reg. No. 27,794) Min Wang (Reg. No. 51,303) Attorneys for Applicants

VPI/94-04 CIP2 DIV5

Examiner

David Lukton

Group

1653

Applicants

Guy W. Bemis, et al.

Appln. No.

09/886,773

Confirmation No. 6928

Filed

June 21, 2001

For

INHIBITORS OF INTERLEUKIN-1B CONVERTING ENZYME

New York, New York April 1, 2004

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT TO VERIFY CONTENT OF COMPUTER READABLE FORM SUBMISSION UNDER 37 C.F.R. § 1.821

Sir:

In accordance with 37 C.F.R. §§ 1.821(a) and 1.821(g), I hereby state that the paper copy of the Sequence Listing attached herewith does not include new matter and is supported by the application as originally filed.

I hereby state that the paper copy of the Sequence Listing in this application is identical to the computer readable copy of the Sequence Listing filed in United States Application No. 08/828,941, filed March 28, 1997 (now United States

Patent No. 5,973,111). In accordance with 37 C.F.R. § 1.821(e), please use the last-filed computer readable form filed in that application as the computer readable form for the instant application. It is understood that the United States Patent and Trademark Office will make the necessary change in application number and filing date for the instant application.

James F. Haley, Jr. (Reg. No. 27,794)

Min Wang (Reg. No. 51,303)

Attorneys for Applicants

c/o FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas New York, New York 10020

Tel.: (212) 596-9000

SEQUENCE LISTING

(1) GENERAL INFORMATION:

	(i)	APPLICANT:	Bemis, Guy W Golec, Julian M.C.
5			Lauffer, David J
			Mullican, Michael D Murcko, Mark A
			Livingston, David J

- (ii) TITLE OF INVENTION: INHIBITORS OF INTERLEUKIN-1 BETA CONVERTING ENZYME
 - (iii) NUMBER OF SEQUENCES: 2
 - (iv) CORRESPONDENCE ADDRESS:
 - (A) ADDRESSEE: James F. Haley, Jr.
 - (B) STREET: 1251 Avenue of the Americas
 - (C) CITY: New York
 - (D) STATE: New York
 - (E) COUNTRY: United States of America
 - (F) ZIP: 10020
- 20 (v) COMPUTER READABLE FORM:
 - (A) MEDIUM TYPE: Floppy disk
 - (B) COMPUTER: IBM PC compatible
 - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
 - (vi) CURRENT APPLICATION DATA:
 - (A) APPLICATION NUMBER: US 08/828,941
 - (B) FILING DATE: 28-MAR-1997
 - (C) CLASSIFICATION:
- 30 (vii) PRIOR APPLICATION DATA:
 - (A) APPLICATION NUMBER: US 08/440,898
 - (B) FILING DATE: 25-MAY-1995
 - (vii) PRIOR APPLICATION DATA:
 - (A) APPLICATION NUMBER: US 08/405,581
- 35 (B) FILING DATE: 17-MAR-1995
 - (vii) PRIOR APPLICATION DATA:
 - (A) APPLICATION NUMBER: US 08/261,452
 - (B) FILING DATE: 17-JUN-1994

15

5	(viii)	ATTORNEY/AGENT INFORMATION: (A) NAME: Haley Jr, James F (B) REGISTRATION NUMBER: 27,794 (C) REFERENCE/DOCKET NUMBER: VPI/94-04 CIPII DIVIII
	(ix)	TELECOMMUNICATION INFORMATION: (A) TELEPHONE: 212-596-9000 (B) TELEFAX: 212-596-9090
	(2) INFO	RMATION FOR SEQ ID NO:1:
LO	(i)	SEQUENCE CHARACTERISTICS: (A) LENGTH: 4 amino acids (B) TYPE: amino acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear
15	(ii)	MOLECULE TYPE: peptide
	(iii)	HYPOTHETICAL: NO
	(iv)	ANTI-SENSE: NO
20	(ix)	<pre>FEATURE: (A) NAME/KEY: Modified-site (B) LOCATION: 1 (D) OTHER INFORMATION: /product= "OTHER" /note= "tyrosine is succinylated"</pre>
25	(ix)	<pre>FEATURE: (A) NAME/KEY: Modified-site (B) LOCATION: 4 (D) OTHER INFORMATION: /product= "OTHER" /note= "aspartic acid residue is derivatized with p-nitroanilide"</pre>
	(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:1:
30	Tyr 1	Val Ala Asp
	(2) INFO	RMATION FOR SEQ ID NO:2:
35	(i)	SEQUENCE CHARACTERISTICS: (A) LENGTH: 4 amino acids (B) TYPE: amino acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: peptide
- (iii) HYPOTHETICAL: NO
 - (iv) ANTI-SENSE: NO
- (ix) FEATURE:

5

10

- (A) NAME/KEY: Modified-site
- (B) LOCATION: 1
- (D) OTHER INFORMATION: /product= "OTHER" /note= "tyrosine is acetylated"
- (ix) FEATURE:
 - (A) NAME/KEY: Modified-site
 - (B) LOCATION: 4
 - (D) OTHER INFORMATION: /product= "OTHER" /note= "aspartic acid is derivatized with amino-4-methylcoumarin"
- 15 (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Tyr Val Ala Asp

Exhibit 5

Modified PTO 1083 For Other Than A Small Entity

Attorney Docket No. VPI/94-04CIP2DIV5

Examiner

David Lukton

Group

1653

Applicants

Guy W. Bemis et al.

Appln. No.

09/886,773 Confirmation No.: 6928

Filed

June 21, 2001

For

INHIBITORS OF INTERLEUKIN-1 β CONVERTING

Allemint.

ENZYME

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV615578794US.

Date of Deposit February 18, 2005

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Mail Stop SEQUENCE, Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

Hon. Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313 Mail Stop SEQUENCE

New York, New York February 18, 2005

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [] a Preliminary Amendment; [] a Supplemental Amendment; [] a substitute Specification; [] a

Declaration; [] a Supplemental Declaration; [] a Power of Attorney; [] an Associate Power of Attorney; [] formal drawings; [X] Corrected Reply to Notice to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosure; [X] Statement to Support Filing and Submission in Accordance With 37 C.F.R. § 1.821-§ 1.825; [X] a Computer Readable Copy of the Sequence Listing; [X] a paper copy of the Sequence Listing (2 pages); to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

- [X] A fee for additional claims is not required.
- [] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAININ(AFTER AMENDMENT	PREVI	R OUSLY	PRESENT EXTRA		RATE		ADD FEE	OITIONAL S
TOTAL CLAIMS	_	=	x		\$50	=	\$	0.00
INDEPENDENT CLAIMS	-	=	x		\$200	=	\$	0.00
FIRST PRESENTATION MULTIPLE DEPENDENT				+	\$360	=	\$	0.00
	···				T	'OTA	L	\$0.00

- [] A check in the amount of \$_____in payment of the fee for additional claims is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$ __to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- [] The following extension fee is applicable to the Response filed herewith: []\$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); [] \$2,160.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).
- [] A check in the amount of [] \$120.00 [] \$450.00 [] \$1,020.00 [] \$1,590.00 [] \$2,160.00 in payment of the extension fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge the [] \$120.00 [] \$450.00 [] \$1,020.00 [] \$1,590.00 [] \$2,160.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Michele A. Kercher James F. Haley, Jr. (Reg. No. 27,794)

Attorney for Applicants

Michele A. Kercher (Reg. No. 51,869)

Agent for Applicants

c/o FISH & NEAVE IP GROUP

ROPES & GRAY LLP

Customer No. 1473

1251 Avenue of the Americas

New York, New York 10020-1104

Tel.: (212) 596-9000

Fax.: (212) 596-9090

EV615578794US

PATENTS VPI/94-04 CIP2 DIV5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

David Lukton

Group

1653

Applicants

Guy W. Bemis, et al. :

Appln. No.

: 09/886,773 Confirmation No. 6928

Filed

: June 21, 2001

For

INHIBITORS OF INTERLEUKIN-1 β CONVERTING

ENZYME

New York, New York February 18, 2005

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail Stop SEQUENCE

:

CORRECTED REPLY TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURE

Sir:

This is further to the January 19, 2005 and January 21, 2005 telephone conferences with Examiner Lukton concerning applicants' alleged failure to comply with the requirements of the sequence rules in the above-identified application.

Applicants made a good faith effort to respond to the March 1, 2004 Notice to Comply with Requirements for

Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures by filing an April 1, 2004 Statement to Verify Content of Computer Readable Form Submission Under 37 C.F.R. § 1.821. Examiner Lukton, however, said that applicants' April 1, 2004 Statement could not be entered because the Sequence Listing referred to in that statement is not related to the above application.

Specifically, the April 1, 2004 Statement requested the transfer of the Sequence Listing from United States Application No. 08/828,941, filed March 28, 1997 (now United States Patent No. 5,973,111) in accordance with 37 C.F.R. § 1.821(e). However, Examiner Lukton said that such transfer could not be done because applicants did not list United States Application No. 08/828,941 in the "Continuity Information" of the Application Data Sheet when the above application was originally filed.

Applicants have, therefore, submitted the enclosed computer readable copy of the Sequence Listing, a Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825, and a paper copy of the Sequence Listing. This corrects any procedural defects that may have been present in the April 1, 2004 filing, which certainly had the intention of presenting this very same Sequence Listing in this application.

Applicants request consideration of this Sequence Listing and associated papers. The January 2005 telephone calls with Examiner Lukton were the first notice to applicants or their agents that applicants' April 2004 response was potentially defective. This Corrected Reply is filed within 30 days of the earliest of those calls. Applicants apologize to Examiner Lukton and the United States Patent and Trademark Office for any inconvenience their original Reply to the Notice to Comply may have caused.

Applicants do not believe any additional charge is due at this time. However, the Director is authorized to charge any additional fees that may be due to Deposit Account No. 06-1075. A duplicate copy of this Corrected Reply is enclosed herewith.

James F. Haley, Jr. (Reg. No. 27,794)

Attorney for Applicants

Michele A. Kercher (Reg. No. 51,869)

Agent for Applicants

c/o FISH & NEAVE IP GROUP
ROPES AND GRAY LLP
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: VPI/94-04 CIP2 DIV5 US

In re patent application of

BEMIS, GUY W. et al.

Serial No. 09/886,773

Filed: June 21, 2001

For: INHIBITORS OF INTERLEUKIN-1 BETA CONVERTING ENZYME

STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Mail Stop SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

- 1. the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter;
- 2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

Coburn

James A.

HARBOR CONSULTING IP SERVICES, INC.

1500A Lafayette Road, #262 Portsmouth, N.H. 03801

800-318-3021

SEQUENCE LISTING

```
<110> BEMIS, GUY W.
      GOLEC, JILIAN M.C.
      LAUFFER, DAVID J.
      MULLICAN, MICHAEL D.
     MURCKO, MARK A.
     LIVINGSTON, DAVID J.
<120> INHIBITORS OF INTERLEUKIN-1 BETA CONVERTING ENZYME
<130> VPI/94-04 CIP2 DIV5 US
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<222> (4)
<223> residue is derivatized with amino-4-methylcoumarin
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Tyr Val Ala Asp
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1

PATENTS VPI/94-04 CIP2 DIV5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : David Lukton

Group : 1654

Applicants : Guy W. Bemis, et al.

Appln. No. : 09/886,773 Confirmation No. 6928

Filed : June 21, 2001

For : INHIBITORS OF INTERLEUKIN-1β CONVERTING

ENZYME

Mail Stop Petition

New York, New York

Hon. Commissioner for Patents September 9, 2005

P.O. Box 1450

Alexandria, VA 22313-1450

DECLARATION OF MICHELE A. KERCHER
IN SUPPORT OF PETITION TO WITHDRAW HOLDING OF ABANDONMENT
PURSUANT TO 37 C.F.R. § 1.181(a) OR, IN THE ALTERNATIVE, TO
REVIVE UNINTENTIONALLY ABANDONED APPLICATION
PURSUANT TO 37 C.F.R. § 1.137(b)

Sir:

- I, MICHELE A. KERCHER, declare that:
- 1. I am a patent agent at the Fish and Neave IP Group of Ropes & Gray, LLP. I make this declaration in support of applicants' Petition to Withdraw Holding of Abandonment Pursuant to 37 C.F.R. § 1.181(a) or, in the alternative, to Revive An Unintentionally Abandoned Application Pursuant to 37 C.F.R. § 1.137(b), filed concurrently herewith.

- On April 26, 2004, I contacted Examiner 2. Lukton concerning the Statement To Verify Content of Computer Readable Form Submission under 37 C.F.R. § 1.821 ("Statement") and the paper copy of the Sequence Listing ("Sequence Listing") filed in reply to the March 1, 2004 Notice to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures, which was filed by applicants' attorney, Ms. Min Wang, on April 1, 2004. Examiner Lukton confirmed receipt of the Statement and Sequence Listing. He stated that his April 1, 2004 Office Communication, which indicated that compliance with the sequence disclosure rules was still required and applicants' Statement and Sequence Listing filed on April 1, 2004 had crossed in the Examiner Lukton further stated that the application was not in danger of abandonment.
- 3. The undersigned further declares that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize

the validity of the above-identified application or of any patent issuing thereon.

Michele A. Kercher (Reg. No. 51.8

Michele A. Kercher (Reg. No. 51,869)
Agent for Applicants
c/o FISH & NEAVE IP GROUP
ROPES AND GRAY LLP
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000

of New York, NY